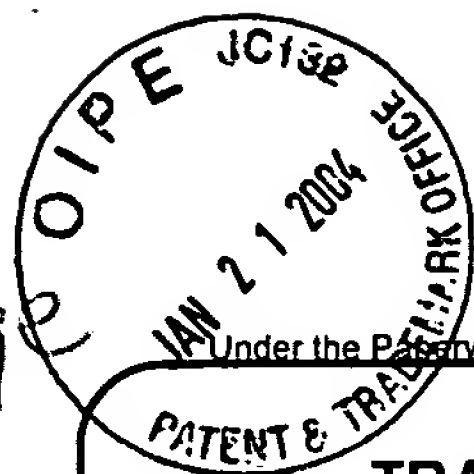


1635

Image



PTO/SB/21 (01-03)

Approved for use through 04/30/2003. OMB 0651-0031

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/994,412
Filing Date	November 27, 2001
First Named Inventor	Ulrich Certa
Art Unit	1635
Examiner Name	Karen A. Lacourciere
Attorney Docket Number	20787

### ENCLOSURES (Check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): |
|---|---|---|

#### Remarks

PTO Form 1449 with (1) Reference, Official Letter, Statement Under 37 CFR Section 1.97(e), and Post Card.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Lyman H. Smith
Signature	
Date	January 16, 2004

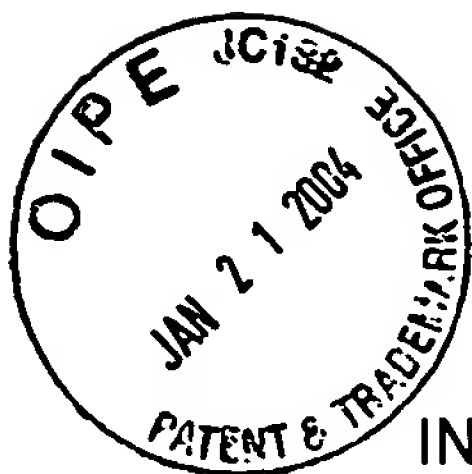
### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date January 16, 2004

Typed or printed	Lyman H. Smith	Date	January 16, 2004
Signature			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Ulrich Certa, et al.

Group: 1635

Serial No.: 09/994,412, filed November 27, 2001

Examiner: Karen A. Lacourciere

For: **INHIBITION OF EXPRESSION OF A TARGET GENE**

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110

Date : January 16, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Document B5 listed on Form PTO-1449 is enclosed.

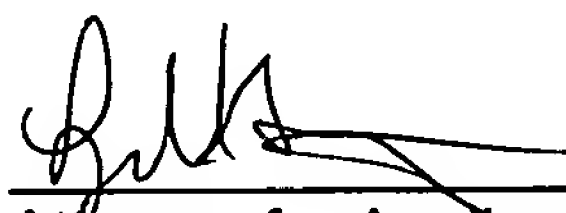
Enclosed is an Official Letter, dated December 3, 2003, which was issued by the British Patent Office in the corresponding application. The Search Report lists Documents A1, B2-B5 and C4. Documents A1, B2, B3, and C4 are not enclosed since they have previously been submitted in Applicant's Supplemental Information Disclosure Statement mailed August 21, 2002. Also, Document B4 is not enclosed since it has previously been submitted in Applicant's Supplemental Information Disclosure Statement mailed September 26, 2003.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 09/994,412  
Filed: November 27, 2001

Since the Information Disclosure Statement is submitted after the first Office Action on the merits and after three months of the filing date for the captioned application, there is enclosed a Certificate under 37 C.F.R. § 1.97(e). If any fees are deemed necessary, authorization is given to charge the amount of any such fee to Deposit Account No. 08-2525.

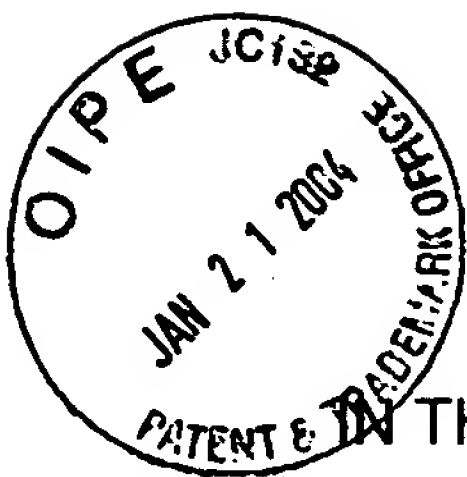
Respectfully submitted,



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Nutley, New Jersey 07110  
Telephone: (973) 235-3916  
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors: Ulrich Certa, et al.

Group: 1635

Serial No.: 09/994,412, filed November 27, 2001

Examiner: Karen A. Lacourciere

For: INHIBITION OF EXPRESSION OF A TARGET GENE

STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110

Date: January 16, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify:

X That each item of information contained in the enclosed supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this supplemental information disclosure statement.

     That no item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

Attorney for Applicant(s)

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Substitute for form 1449A/PTO

[illegible]

Examiner Initials'	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	B5	WO 00/44914			Medical College of Georgia Research Institute	08-03-2000	
Examiner Signature		Date Considered					